526 Reg'd PCT/PTO 09 NOV 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gregory H. Lambrecht et al.

For:

CARDIAC VALVE PROCEDURE METHODS AND

DEVICES

Attorney's Docket No.:

VIA-3

BOX PCT

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicant hereby makes the following documents of record in the above-identified application:

U.S. and Foreign Patents

- (1) U.S. Patent No. 5,827,237, issued 10/27/98 to Macoviak et al.;
- (2) U.S. Patent No. 5,876,367, issued 03/02/99 to Kaganov et al.;
- (3) U.S. Patent No. 5,984,959, issued 11/16/99 to Robertson et al.;
- (4) U.S. Patent No. 5,980,570, issued 11/09/99 to Simpson; and
- (5) PCT International Publication No. WO 00/47139, published 08/17/00 by Heartport, Inc.

A copy of these documents, which are listed on the accompanying Form PTO-1449 (1 page), are enclosed herewith. Applicant respectfully requests that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicant respectfully requests that a copy of Form PTO-1449 (1 page), as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

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It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

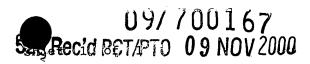
The enclosed documents may have markings thereon. Applicant is not presently aware of the source of those markings, and no significance is meant to be attached thereto.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is believed to be required.

However, in the event that any fees may be due in connection with this submission, please charge any fees due, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

Respectfully submitted, "EXPRESS MAIL" MAILING LABEL NUMBER EK830404252US James a. Sherida 11/09/00 DATE OF DEPOSIT NOVEMBER 9 I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED James A. Sheridan WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST Registration No. 43,114 OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT Pandiscio & Pandiscio COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231. 470 Totten Pond Road Waltham, MA 02451-1914 Tel. (781) 290-0060 (SIGNATURE)





FORM PTO-1449 (ModRed)

LIST OF PATENTS AND PUBLICATIONS FOR **APPLICANT'S INFORMATION DISCLOSURE STATEMENT**

SERIAL NO. ATTY. DOCKET NO. 0 / VIA-3

APPLICANT

Gregory H. Lambrecht et al.

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Information Disclosure Statement—Section 9 PTO-1449 (Modified) [6-1]—page -